

Application and Certification to Exempt a School District from the June 2014 Apportionment Deferral

School districts must complete Sections A through C of this application and submit it with all required documents, as described below, to the county superintendent of schools for review, any comment, and certification. County superintendents complete Sections D through F of this form. The school district may receive in June no more than the lesser of (1) the total amount of additional funds necessary for the school district to meet its expenditure obligations during the month of June, or (2) the total June principal apportionment payments the school district is entitled to receive in July¹.

- A. The school district identified below is applying for an exemption from the deferral of principal apportionment payments during June 2014 pursuant to the provisions of California *Education Code (EC)* Section 14041.7. The school district is eligible to make this application in that it meets all of the following criteria:
- It has exhausted all internal and external sources of borrowing including those pursuant to *EC* sections 42603, 42620, 42621, 42622, the *California Constitution*, Article XVI, Section 6, and *Government Code* Section 53850 et.seq. (Links to these legal references are available on the CDE Web page at <http://www.cde.ca.gov/fq/fi/ir/jun14def.asp>.)
 - The deferral of warrants in April, May, and June will result in the school district being unable to meet its expenditure obligations for the month of June, and
 - If not exempt, the school district will require a state emergency loan pursuant to the criteria in the *EC* commencing with Section 41320.

The amount of additional funds necessary for the school district to meet its expenditure obligations during the month of June is \$_____. (This amount must match the June negative ending cash balance on the cash flow projection provided or an explanation of any difference must be provided in the narrative below.)

County-District Code

District Name

- B. The school district must provide narrative below and attach documents as necessary that demonstrate that the district has exhausted all internal and external sources of borrowing, will be unable to meet its expenditure obligations and will need a state emergency loan in the absence of specific payments in June. Attach a current cash flow projection covering, at a minimum, July 2013 through June 2014. Please refer to the March 3, 2014, letter for assumptions to use in preparing the cash flow statement. The letter can be found on the CDE Web site at <http://www.cde.ca.gov/fq/fi/ir>.

¹ The amount a school district is entitled to receive in June is equal to 20 percent of the balance due amount calculated as of the First Principal Apportionment.

- C. I certify that the statements, information, and documents provided in response to Sections A through C of this application are true and correct. (Please fill in all blanks.)

District Superintendent/Designee Name
(print/type)

Designee Title
(if applicable)

District Superintendent/Designee Signature

Date

Chief Business Official/Designee Name
(print/type)

Designee Title
(if applicable)

Chief Business Official /Designee Signature

Date

- D. County offices should use the space below if they find it appropriate to comment on the information provided by the district in Sections A through C above.

- E. County Superintendent's certification. Please fill in all blanks.

I hereby certify that, to the best of my knowledge, the statements and information provided herein by the district, as augmented by me in Section D, are true and correct.

County Superintendent/Designee (print/type)

County Name

Signature of County Superintendent/Designee

Date

E-mail Address of County Superintendent/Designee
(print/type)

Phone
(include area code)

County Contact Name if Other Than
Superintendent/Designee (print/type)

Contact Title

Contact Phone (include area code)

Contact E-mail

F. Submittal Instructions

County superintendents must submit the completed and certified school district application for exemption, cash flow statement, and any other supporting documents to both the CDE (copy) and Department of Finance (original), by **April 1, 2014**, at the addresses below. The CDE will accept a copy or a scanned copy of the original. A scanned copy of the original sent to the Department of Finance must be followed by the submission of the hard copy original.

Send copy to:

California Department of Education

School Fiscal Services Division

Attention: Arlene Matsuura

1430 N Street, Suite 3800

Sacramento, CA 95814

Telephone: 916-327-0538

Scan: ab1200@cde.ca.gov

Send original to:

Department of Finance

Education Systems Unit

Attention: Laurie Carney

915 L Street, 7th Floor

Sacramento, CA 95814

Telephone: 916-445-0328

Scan: laurie.carney@dof.ca.gov